



Patent  
Attorney's Docket No. 019519-315

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	
Taizo IWAMI et al.	)	Group Art Unit: 2832
Application No.: 09/903,815	)	Examiner: L. D. Donovan
Filed: July 13, 2001	)	Confirmation No.: 1800
For: POLARIZING DEVICE FOR A	)	
PERMANENT MAGNET ROTOR	)	

INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By: Douglas H. Pearson  
Douglas H. Pearson  
Registration No. 47,851

Date: December 1, 2003

DOCKETED *cler*  
filed 12-1-03

COPY



Patent  
Attorney's Docket No. 019519-315

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
	)	
Taizo IWAMI et al.	)	Group Art Unit: 2832
	)	
Application No.: 09/903,815	)	Examiner: L. D. Donovan
	)	
Filed: July 13, 2001	)	Confirmation No.: 1800
	)	
For: POLARIZING DEVICE FOR A	)	
PERMANENT MAGNET ROTOR	)	

**THIRD**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

- 1) U.S. 5,861,696
- 2) DE 44 17 903;
- 3) JP 61-52143; and
- 4) PCT/WIPO 99/35729

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c) the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

DOCKETED *CKm*  
filed 12-1-03  
(09/03)

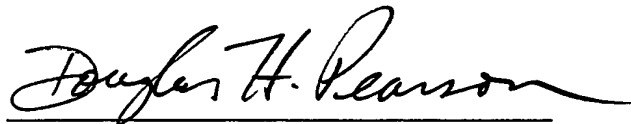
To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Also enclosed is a copy of search report dated August 29, 2003, from the European Patent Office received in connection with a foreign counterpart application listing the above-noted documents.

Respectfully submitted,  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

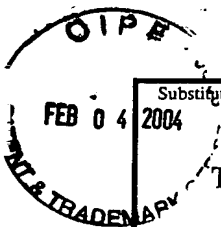
Date: December 1, 2003

By:



Douglas H. Pearson  
Registration No. 47,851

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620



Substitute for forms 1449A/PTO &amp; 1449B/PTO

ATTORNEY'S DKT No.  
019519-315APPLICATION No.  
09/903,815**THIRD INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**APPLICANT  
Taizo IWAMI et al.FILING DATE  
July 13, 2001GROUP  
2832**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	5,861,696		Hartman et al.	01-19-1999

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation Yes No
	44 17 903	A1	Germany	11-30-1995	Abst.
	61-52143	A	Japan	03-14-1986	Abst.
	WO 99/35729	A2	PCT/WIPO	07-15-1999	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.